

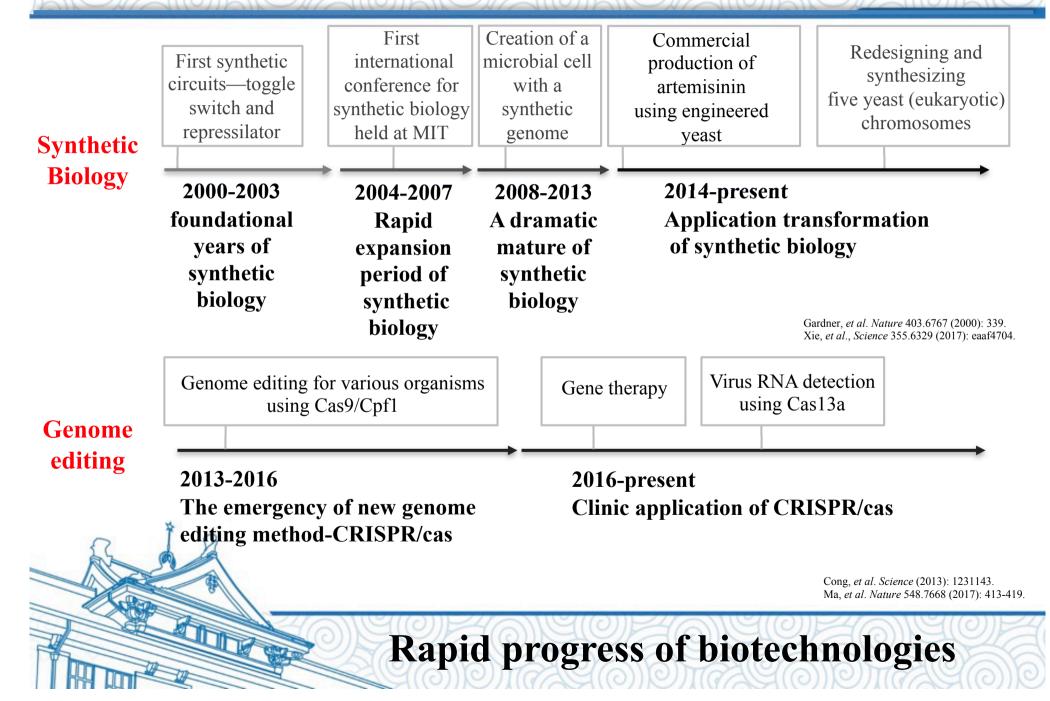
For "Development of a Model Code of Conduct for Biological Scientists – Tianjin Workshop" side event of 2018 BWC Meetings of Experts, Aug 10, 2018, Geneva, Switzerland

Code of Conduct for Scientists in Life Sciences: Thoughts and experience from Chinese scientific community

Weiwen Zhang

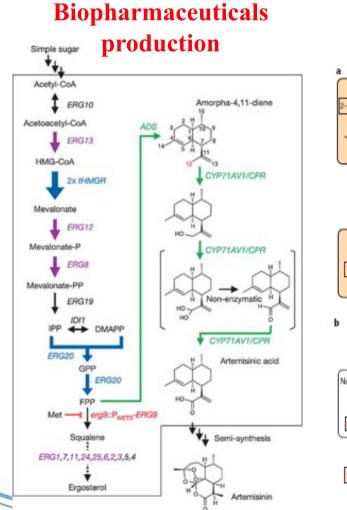
Professor of Synthetic Biology Center for Biosafety Research and Strategy (CBRS) Tianjin University, Tianjin, P. R. China (http://tjusa.tju.edu.cn/) 天洋大学生物安全战略研究中心

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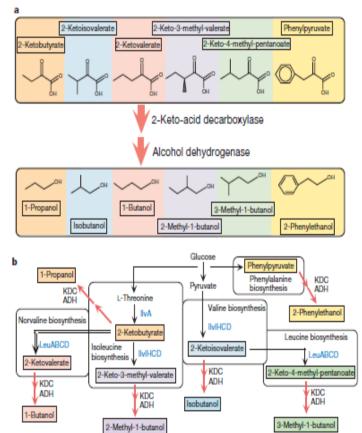
Synthetic biology revolutionized traditional biotechnology industry

Sustainable chemicals



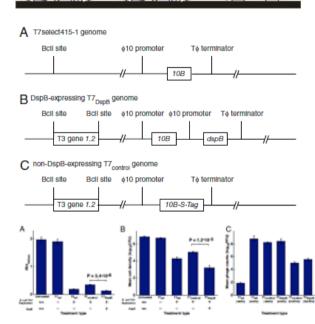
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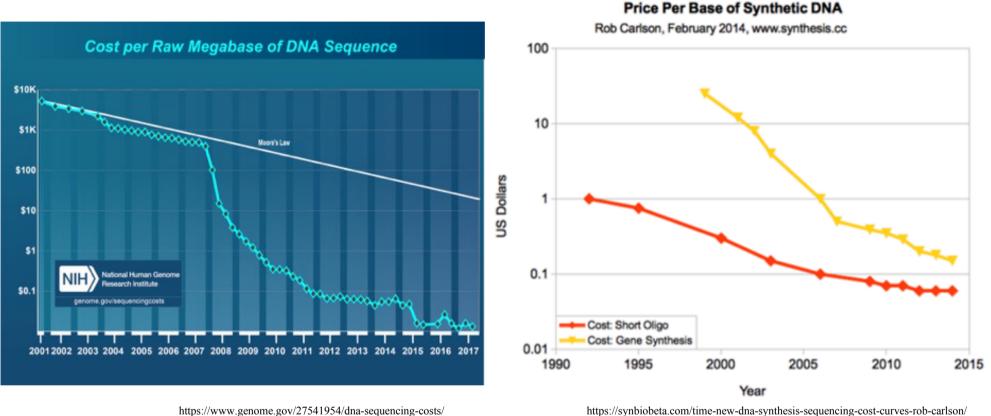




Gene Therapy



Atsumi, *et al.*, *Nature* 451.7174 (2008): 86. Ro, *et al.*, *Nature* 440.7086 (2006): 940. Lu, *et al.*, *PNAS* 104.27 (2007): 11197-11202. 天洋大学生物安全战略研究中心 Tianjin University Center for Biosafety Research and Strategy (CBRS)



Cost of gene sequencing dropped from \$1/base pair in 1990 to 34 cents /million base pairs in 2016.

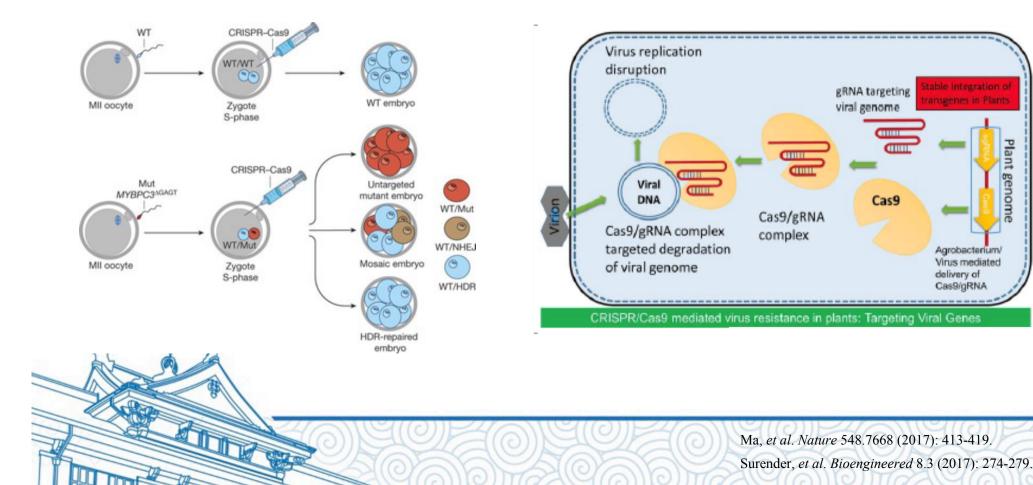
Cost of gene synthesis dropped from \$12/base pair in 2001 to 3 cents/base pair in 2016.



CRISPR/Cas9 provided revolutionary solutions for genome editing of cells

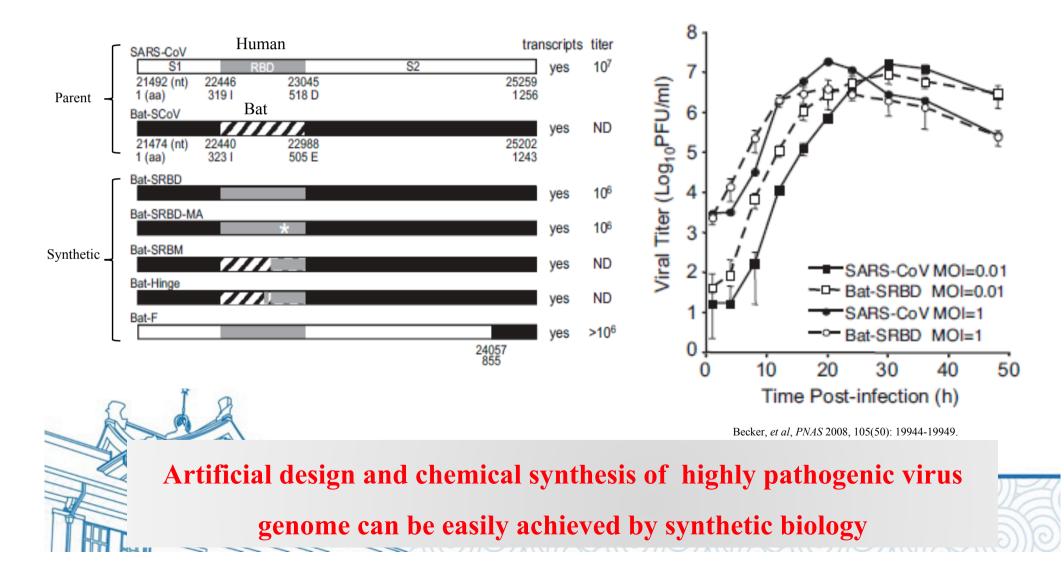
Correction of a disease gene mutation

Generation of novel virus-resistant crops

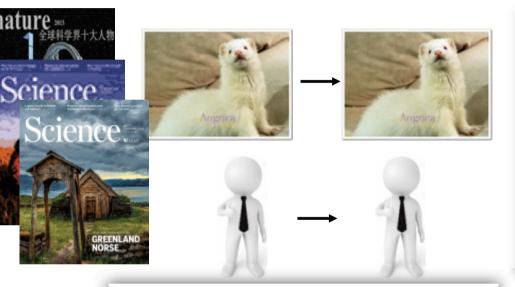




Synthetic SARS corona virus carry increased capability of infecting human cells



Genetic modification of pathogens can rapidly expand host range and dissemination capabilities



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- H5N1 mutants can spread through air in ferrets.
- H7N9 virus can achieve humanto-human transmission by mutating three bases

It is forbidden to conduct research related to Ebola and several other virus without permission 任何单位个人不得违规开展埃博拉病毒培养等实验

中央改計127時は www.gov.m 2014-08-21 2059 東道: 新学校 「学校:士の人」 57014万 - のが

新华社北京8月21日电(记者 胡浩)记者21日从国家卫生计生变了解判,国家病原覆生物实验室生 安全专家委员会卫生专业委员就埃博拉病毒实验室生物安全管理作出决议,要求埃博拉病毒相关实验活动应严格按 图《人间传染的病原覆生物名录》的要求,在相应的生物安全规划实验室开展实验活动,任何单位和个人不得违规

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U.S. Government Gain-of-Function Deliberative Process and Research Funding Pause on Selected Gain-of-Function Research Involving Influenza, MERS, and SARS Viruses

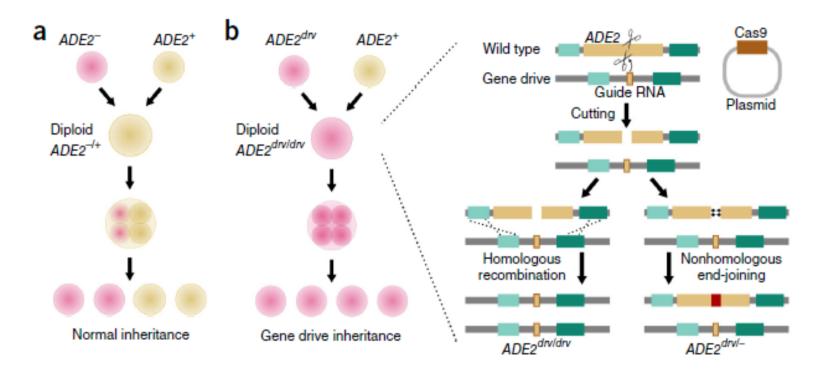
October 17, 2014

Science. 2013 Jul 26;341(6144):410-4; *Science*. 2013 Jun 21;340(6139):1459-63. *Science*. 2012 Jun 22;336(6088):1534-41; *Nature*. 2012 May 2;486(7403):420-8.



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- 1. Causing damages to selective species
- 2. Breaking the nature balance of ecosystems

Hammond, et al. Nature Biotechnology 34.1 (2016): 78.

Rapid increase of amateur/do-it-yourself (DIY) biology groups



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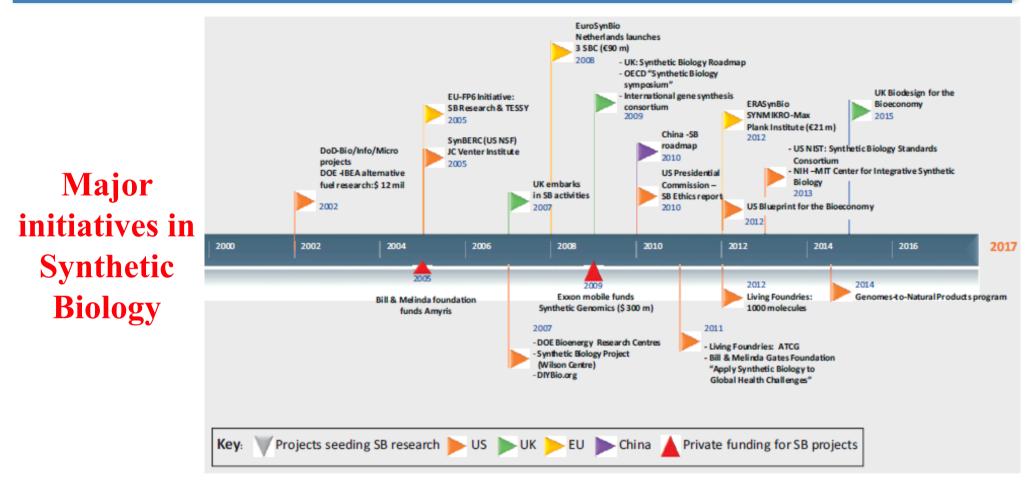
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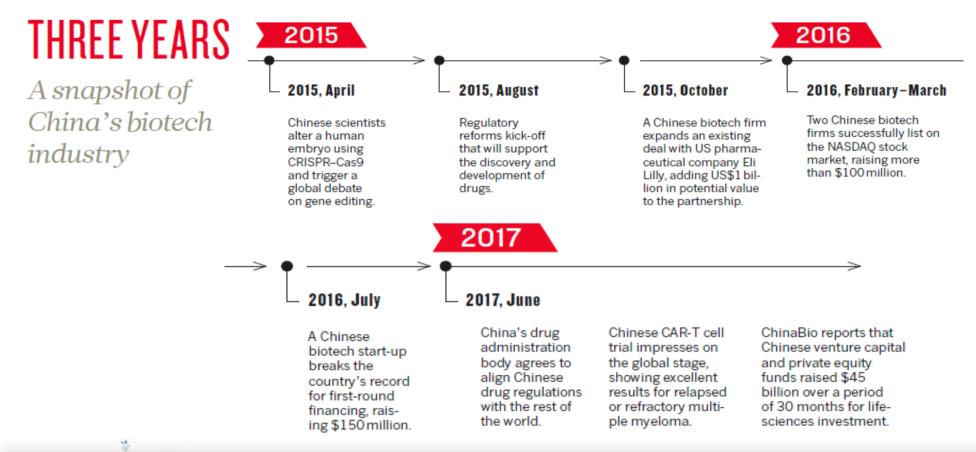
Bueso and Tangney. Trends in Biotechnology 35.5 (2017): 373-378.

- 1. Since 2005, ~1 billion dollars invested in synthetic biology research in USA.
- 2. From 2004 to 2013, ~450 million euro was invested into synthetic biology field in EU.
- 3. Since 2007, over 300 million pounds have been invested into synthetic biology in UK.
- 4. Since 2011, ~200 million invested in Synthetic Biology Research (2011-2015), and 1 billion planned for 2019-2023 in China.

Fast growth of biotechnology R&D in China

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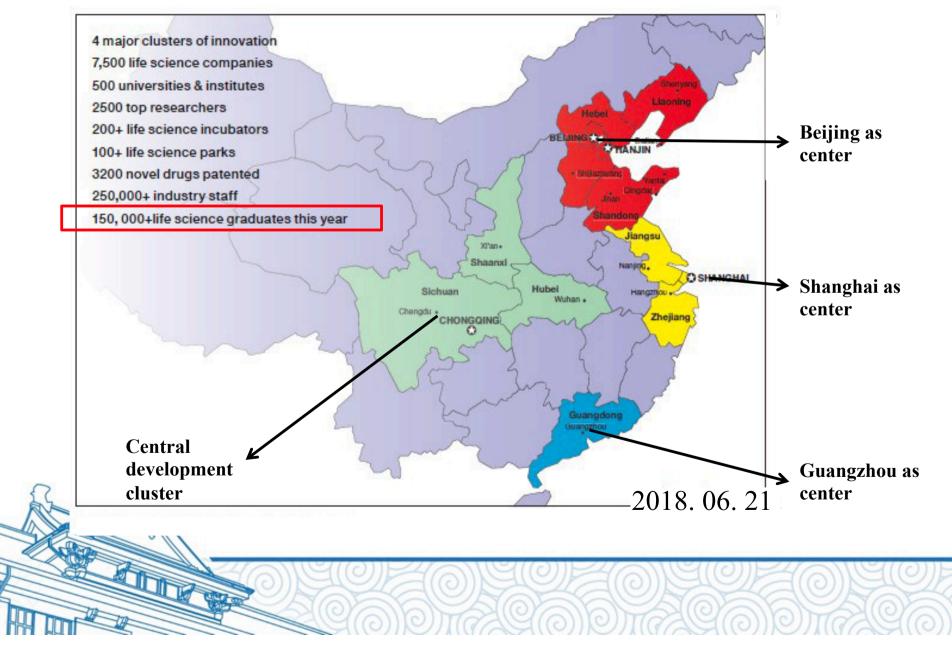


The latest five-year plan, China's thirteenth, stipulates that the biotechnology sector should **exceed 4%** of gross domestic product (GDP) by 2020 and that there should be **10 to 20 life-science parks** for biomedicine with an output surpassing 10 billion yuan (US\$1.5 billion).

-----Biotech Booms in China (Career guide, Nature, Jan 2018)



Fast growth of new biotechnology research and industry in China



How to balance the rapid development of biotechnology and biosafety requirements?

Key issues need to be considered:

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- 1. What and where are the real threat when dual-use technology is applied
- 2. Roles and responsibilities when conducting science and publishing scientific outcomes
- 3. Education and training for a rapidly expanding populations who engage in life science
- 4. Regulatory mechanisms of institutes or entities
- 5. Public engagement



Goals:

I. To standardize biotechnology research and development activities

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- II. To promote and ensure thehealthy and orderly developmentof biotechnology research anddevelopment activities
- III. To safeguard national biosafety

"Safety Management Guidelines for Biotechnological R&D"



Issued by Ministry of Sciences and Technology of China on July 12, 2017



- I. Relevant research activity and its commercialization should be systematically assessed according to risk levels of the proposed research.
- II. Relevant ethics and law should be applied throughout the research process.
- III. Responsibility of the State Council, institutions and individual researchers is define. For example, roles of State Council includes development of management practices, recommendation of risk level list, the presentation of countermeasures and disposal procedures; and role of Institutes includes inspections and supervision etc.
- IV. Risk and accident reporting and management protocol proposed.
- V. Policy related to international collaboration should follow biosafety related policies and laws.

Chinese government and scientific community pay great attention to biosafety issues of dual-use biotechnology

Biosafety Key Technologies Research and Development Key Program (2016-2020)

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This key program has been a subprogram of National Key Research and Development Program funded by Ministry of Science and Technology since 2016. "Building scientific, technological and policy support capability for national biosafety system" Synthetic Biology Key Program (2018-2022)

It is also a sub-program of National Key Research and Development Program. A project entitled "**Synthetic Biology Ethics Assessment, Policies and Regulations and Public Education Popular Science System Construction**" has been added into this key program this year.

International Level - Code of Conduct for Scientists

BWC/CONF.VIII/WP.30*

《关于禁止发展、生产和储存细菌(生物) 及毒素武器和销毁此种武器的公约》 缔约国第八次审查会议 15 November 2016 Chinese/English only

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2016年11月7日至25日,日内瓦 临时议程项目12 第七次审查会议建议和决定的后续工作和《公约》的今后审查问题

> 关于制定《禁止生物武器公约》生物科学家行为准则范本的 工作文件

中国和巴基斯坦提交

一、生物科技发展被入"快车道",研究深度与广度不断扩展,给全人类带来了福祉。但生物科技进步,特别是两用性生物研究可能产生难以预见的负面影响,技术 说用、谬用风险不断紧积,给全球生物安全治理带来挑战。

二、生物科研人员身处生物科技及展第一线,也是防范生物科技说用、谭用的首 道防线。提高生物科研人员生物安全意识,加强道德自律,是防范生物科技说用、 谭用的关键环节。

三、2006 年第六次审议大会就加强公约第四条进行了讨论,并在最后文件表示 "认识到行为准则及自律机制对提高有关从业人员生物安全意识的重要性,呼吁 缔约国文持并鼓励有关行为准则与自律机制的制定、公布与施行"。

四、为实现公约宗旨与目标,加强公约对生物科技研究的指导与规范,最高全球生物安全治理水平,应在公约框架下制定生物科研家行为准则范本,促使生物科研, 员及时评估生物科研风险,并采取可行措施对其自觉规遵、妥善处置,遵免误用、 理用发生。

五、2015 年绪的国会上,中国代表团摄交了关于制定《禁止生物武器公约》生物 科学家行为推测范本的工作文件。巴基斯坦随后参与共通上述文件。截止到目 前,该信议获得了缔约国广泛支持与好评。鉴此,中方起草了生物科学家行为准则 荒本(尊重),供各方作为讨论基础。

• 因技术原因、重新印发。





Recommendations and Principles

- 1. Ethical conduct
- 2. Law constraint
- 3. Scientific Integrity
- 4. Respect to scientific objects
- 5. Research establishment and process
- 6. Constraints on the dissemination of results
- 7. Scientific research dissemination
- 8. The institution
- 9. International exchange

Code of conduct for scientists" provide a important step towards the governance of dual-use biotechnology



- The proposed code of conduct a positive contribution to the Convention.
- Aspirational codes have been used successfully in other scientific fields to encourage a culture of responsible conduct and reinforce important norms.
 - The field of biological sciences would benefit from its own code, as would the Biological Weapons Convention.





Participants were divided into four groups and asked to consider the following six key questions:

1 Who should be the target audience for the code?

The target audience for the code was individual life scientists and managers engaged in all steps of life science research.

2 What should be the basic principles of the code?

General approval of the elements within the code, but with agreement that <u>education</u> and <u>awareness-raising</u> should be added.

3. What type of code should it be?

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The code was seen by all groups as an Aspirational Code that could set an internationally agreed standard to be adjusted to fit varying national and professional circumstances in Advisory Codes and Enforceable Codes.

gy (CBRS)

4. How should the code be promoted?

Various routes were put forward that could be used to promote the code, in particular the BTWC meetings in 2018. Other include working through the National Academies, Scientific Association or InterAcademy Panel etc.

5. What measures should be taken to sustain the code?

To reach that goal, the implementation of the code may need measures such as education and regulatory oversight where necessary.

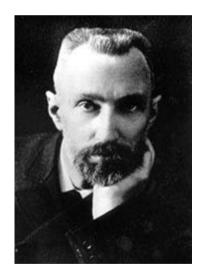
6. How should the impact of the code be evaluated?

Evaluation was seen as a difficult problem by all of the groups, but one idea suggested by all groups was for States Parties to add a section on the implementation of the code in their annual Confidence Building Measures return to the ISU.



"I am one of those who think like Nobel, that humanity

will draw more good than evil from new discoveries"



Pierre Curie Speech upon acceptance of the Nobel Price in Physics in 1903, with Marie Curie and Henri Becquerel for "their discovery of spontaneous radioactivity"



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Thanks!

Weiwen Zhang, Ph.D. Professor of Synthetic Biology Director, Center for Biosafety Research and Strategy (CBRS) (http://tjusa.tju.edu.cn/) Tianjin University Tianjin, P. R. China Email: wwzhang8@tju.edu.cn